



## Carol Sergeant

CO-VICE CHAIRMAN

ELECTED BY THE GENERAL MEETING

Born	7 August 1952
Nationality	British
Joined the Board	18 March 2013, independent
Most recently re-elected	2018
Term expiration	2019
Committees	Risk Committee (Chairman), Nomination Committee (member)

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### Competencies

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Senior management and board experience in the public, private and charity sectors

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Broad and in-depth knowledge of financial services business, credit and risk management and regulatory issues in the UK and Europe

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Significant change management experience

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### Former employment

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2011-2018	Financial services non-executive director, and special adviser to Bank CEOs, Chairmen and Government
2004-2011	Chief Risk Officer, Lloyds Banking Group
1998-2003	Managing Director, Risk and Regulatory Processes, and Board member UK Financial Services Authority
1974-1998	Bank of England (financial markets, monetary policy, and regulation - last position Head of Department, Major UK Banks Supervision)

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### Formal training

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1979	MBA, Cass Business School, England
1974	Modern Languages, Newnham College, Cambridge University, England

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## Directorships and other offices

### *Private-sector directorships*

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TP ICAP plc. (member of the board of directors, chairman of the risk committee, member of the audit and nominations committees)

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BNY Mellon SA/NV (member of the board of directors, chairman of the risk committee and member of the audit committee)

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Threadneedle Solutions Ltd (company director)

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### *Entities which do not pursue predominantly commercial objectives*

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British Standards Institute, Standards Policy and Strategy Committee (chairman)

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Lloyds Register Foundation (trustee and member of audit and investment committee)

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The Governing Council of the Centre for the Study of Financial Innovation (CSFI) (trustee)